



02-09-06

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PATENT  
Attorney Docket No.: 6518-03US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: BAUGHMAN et al.

Application No: 09/972,736

Filed: October 5, 2001

For: INTEGRATED INVESTMENT  
PORTFOLIO MANAGEMENT  
SYSTEM AND METHOD

Art Unit: 3624

Examiner: KAZIMI, Hani M..

**STATUS INQUIRY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

More than 10 months have passed since the filing of the Response to Office Action mailed on November 8, 2001 (please see attached copy, with certificate of mailing).

Kindly advise the undersigned of the present status of the application, by checking the appropriate box on the next page.

I certify that this paper, together with any documents referred to as attached or enclosed, is being deposited this date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: February 7, 2006  
**Customer No. 29858**  
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Respectfully submitted,

David V. Rossi  
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Attorney for Applicants

## US. PTO STATUS INQUIRY REPLY

Application Serial No. 09/972,736 is currently assigned to Group \_\_\_\_\_ and awaits:

- ☐ Action by the Examiner.
- ☐ Applicant's response to the Office Action mailed \_\_\_\_\_.
- ☐ Other \_\_\_\_\_

Examiner's Signature \_\_\_\_\_

Examiner's Name: \_\_\_\_\_

BRMFS1 653582v1

Date: March 8, 2002

Applicant(s): Baughman et al.

U.S. Serial No. 09/972,736

Filing Date: 10/6/01

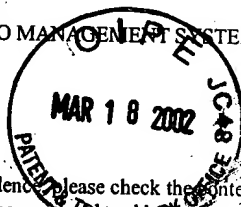
Title: INTEGRATED INVESTMENT PORTFOLIO MANAGEMENT SYSTEM  
AND METHOD

Docket No.: 3271/50

Atty/Secy: JJW/dmt

Box Missing Parts

Commissioner for Patents, Washington, DC 20231



To the individual receiving this correspondence, please check the contents enclosed herewith and verify that the following items were received. Please stamp and place this post card in the out-going mail. Thank you.

- Response to Notice to File Missing Parts
- Copy of Notice
- Executed Declarations and Power of Attorney (5)
- Request for Extension of Time - 2 month
- Check \$1270.00
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